

Ref.: MENA/2015/MAJB/BB/MRT-506-G03-H/IL13

3 August 2015

Professeur Abdallahi Ould SIDI ALY  
Secrétaire Exécutif National  
Comité National de Lutte Contre le SIDA (SENL)  
Nouakchott  
République Islamique de Mauritanie

**Subject: Program Grant Agreement: MRT-506-G03-H**  
**Principal Recipient:**  
**Secrétariat Exécutif National, Comité National de Lutte Contre le SIDA (SENL)**  
**Implementation Letter: 13<sup>1</sup>**  
**12-month Extension without additional funding**

UNOFFICIAL SUMMARY

This letter extends the implementation period by 12 months. The updated Performance Framework and the addendum to the Summary Budget, which contain targets and a budget for this extension period, are enclosed.

Dear Professor Abdallahi Ould Sidi Aly

We are writing this letter to provide you with a 12-month extension to the Program Grant Agreement, dated 31 July 2013, by and between the Global Fund and the Principal Recipient (as amended, the "Grant Agreement") for grant MRT-506-G03-H (the "Grant").

We are modifying the Grant Agreement to change the Implementation Period Dates from 01 September 2013 to 31 August 2015 to 01 September 2013 to 31 August 2016. No additional funding is being committed at this time.

The purpose of this extension is to ensure continued implementation of the Program while a concept note based on the 2014-2016 allocation is being revised and grant making for the new funding model (NFM) grant occurs. This extension does not mean that a new grant has been approved for funding by the Global Fund.

We have attached an updated Performance Framework and an addendum to the Summary Budget, which contain targets and a budget for the extension period. In connection with this extension, we are also modifying Annex A to the Grant Agreement.

In accordance with Article 31 of the Standard Terms and Conditions of the Grant Agreement, we are amending the Grant Agreement to reflect the changes described above by:

<sup>1</sup> This Grant Agreement was changed before by letters dated 8 September 2008, 25 November 2008, 5 November 2010, 24 May 2012, 21 June 2012 and 25 September 2012, 17 April 2013, 10 July 2013, 28 November 2013, 10 December 2013, 4 April 2014 and 22 May 2015 and by an amended and restated grant agreement effective 1 December 2008 as well as an amendment for the TFM Grant Agreement signed on 31 July 2013.

- (1) Updating the following blocks on the Face Sheet:

Block 5: Implementation Period Dates: 01 September 2013 to 31 August 2016

- (2) Replacing attachment to Annex A titled "Performance Framework TFM Years 1 & 2 (a): Indicators, Targets and Periods Covered" with a revised document called "Performance Framework TFM Years 1 & 2 + Extension (b): Indicators, Targets and Periods Covered," which is enclosed with this letter.
- (3) Supplementing attachment to Annex A titled "Summary Budget TFM Years 1 & 2 (b)" with the addendum to the Summary Budget titled "Summary Budget TFM Year 1&2 + Extension (c)" which is enclosed with this letter.
- (4) Amending and restating the Annex A of the Grant Agreement to add new conditions in relation with the extension, and to remove met conditions.

For purposes of this Agreement, TFM Ending Date shall be 31 August 2016.


The revised Face Sheet of the Grant Agreement is also enclosed.

Other than as set forth in this letter, all terms and conditions of the Grant Agreement remain the same.

Please confirm your agreement to these amendments by signing two copies of this letter and returning both copies to us. The above changes will take effect upon the signing by the Global Fund Chief Financial Officer (or his/her designated official) indicated below. One copy of this letter will be returned to you for records once the Global Fund Chief Financial Officer (or his/her designated official) has signed.

Thank you for your important efforts in the global fight against HIV and AIDS. We look forward to the successful implementation of the Program.

Yours sincerely

  
Cynthia Myase  
Department Head  
Africa and the Middle East

Agreed and signed:

For: **SECRETARIAT EXECUTIF NATIONAL, COMITE NATIONAL DE LUTTE CONTRE LE SIDA (SENLS)**

By:

Authorized Representative: Pr Abdallahi Ould SIDI ALY, Secrétaire Exécutif National

Date:

10.08.2015

encl.: Revised Face Sheet of the Grant Agreement  
Performance Framework TFM Years 1 & 2 + Extension (b): Indicators, Targets and  
Period Covered  
Summary Budget TFM Year 1&2 + Extension (c)  
Amended and Restated Annex A

cc: Dr Mohamed Lemine Ould Mohamed El Hadj, CCM Chair  
Mr Issiaka Ouattara, PricewaterhouseCoopers, Local Fund Agent

Signed by the Global Fund Chief Financial Officer or his/her designated official for the recognition  
of this agreement by the Global Fund.

Eric Boa  
Regional Finance Manager

Date:

  
  
4/3/2015

PROGRAM GRANT AGREEMENT

1. Country: Islamic Republic of Mauritania	
2. Principal Recipient Name and Address:  Secrétariat Exécutif National de Lutte contre le SIDA (SENLS) BP 5161, Nouakchott, Islamic Republic of Mauritania	
3. Program Title: Strengthening the National Response Against HIV/AIDS in Mauritania	
4. Grant Name: MRT-506-G03-H	4A. GA Number: 162
5. Implementation Period Dates: 01 September 2013 to 31 August 2016	
6. Grant Funds (Current Implementation Period only): Up to the amount of US\$5,736,156.00 (Five Million Seven Hundred Thirty-Six Thousand One Hundred and Fifty-Six US Dollars).  Grant Funds as indicated above will be committed by the Global Fund to the Principal Recipient in staggered terms as described in Annex A of this Agreement.	
7. Component/Disease: HIV/AIDS	
8. The fiscal year of the Principal Recipient is: 01 January to 31 December	
9. Local Fund Agent:  PricewaterhouseCoopers Immeuble Alpha 2000, 2ème Etage, rue Gourgas 01, BP 1361, Abidjan 01, Côte d'Ivoire Tel: +225 20 31 54 34 Fax: +225 20 31 54 37 Attention: Mr. Issiaka Ouattara E-mail: Issiaka.ouattara@ci.pwc.com	
10. Name/Address for Notices to Principal Recipient:  Prof. Abdallahi Ould Sidi Aly Secrétaire Exécutif National de Lutte contre le VIH/SIDA  BP 5161, Nouakchott, Islamic Republic of Mauritania Tel.: +222 45 25 93 62 Fax: +222 45 24 12 24 E-mail: sidialy@sensmr.org	11. Name/Address for Notices to Global Fund:  Mr. Joseph Serutoke Regional Manager, MENA Team  The Global Fund To Fight AIDS, Tuberculosis and Malaria Chemin de Blandonnet 8 1214 Vernier Geneva, Switzerland Tel.: +41 58 791 1700 Fax: +41 58 791 1701
<p><b>This Agreement consists of this face sheet and the following:</b></p> <ul style="list-style-type: none"> <li>Recitals (if applicable)</li> <li>Standard Terms and Conditions</li> <li>Annex A – Program Implementation Description and the attachments thereto (including the Performance Framework and Summary Budget)</li> </ul>	

## ANNEX A to the PROGRAM GRANT AGREEMENT

### Program Implementation Description

<b>Country:</b>	<b>Islamic Republic of Mauritania</b>
<b>Program Title:</b>	<b>To Strengthen The National Response Against HIV/AIDS in Mauritania</b>
<b>Grant Number:</b>	<b>MRT-506-Go3-H (TFM Funding)</b>
<b>Disease:</b>	<b>HIV/AIDS</b>
<b>Principal Recipient:</b>	<b>Secrétariat Exécutif National, Comité National de Lutte Contre le SIDA (SENLS)</b>

**Capitalized terms and acronyms used but not defined in this Annex A or the attachments to this Annex A have the meaning given to them in the Standard Terms and Conditions of this Agreement.**

**In the event of any conflict between the terms of this Annex A and any provision of the Standard Terms and Conditions of this Agreement, the terms of this Annex A shall prevail.**

#### **A. PROGRAM DESCRIPTION**

##### **1. Background and Summary:**

While it has more than 700 km of coastline on the Atlantic Ocean, Mauritania is located in the Sahel region, and its territory is almost three-quarter of the land is desert or semi-desert. It is affected by a series of drought periods and generally in a food-deficit situation, making poverty more acute as it was estimated that 24.5% of all rural households are affected by food insecurity (WFP, December 2011), 12% of which were classified as being severely food insecure. This situation has worsened following the outbreaks of violence in northern Mali in January 2012, which led to an estimated 100,000 Malian refugees seeking refuge in Mauritania (UNHCR, August 2012).

While the population reached approximately 3.80 million people (2011), Mauritania's Human Development Index remains low at 0.453. While it has improved in recent years, the health situation remains weak, with high infant mortality rates (112/1,000), low levels of total expenditure on health per capita (US\$129, 2011), and total expenditure on health representing 5.4% of the GDP (2011).

While the last sero-prevalence survey was conducted in 2009, the latest available estimates indicate that the HIV prevalence rate is of 1.1% among people aged 15 to 49 (UNAIDS, 2011). Most at risk populations in Mauritania are commercial sex workers and their clients, prisoners, truck drivers, and fishermen. A 2007 survey indicates prevalence rates of 7.6% among commercial sex workers, of 9% among people suffering from sexually transmittable infections, and 3.9% among prisoners. Furthermore, the TB/HIV co-infection rate was estimated to be 4.8% in 2008.

This Round 5 HIV/AIDS Grant began on 1 September 2006, and its Phase 2 was signed in October 2008 with a start date of 1 December 2008, with an end date of 31 August 2011. The Principal Recipient of this Grant is the "Secrétariat Exécutif National de Lutte contre le SIDA" (SENLS). Following the discovery of the unjustified and/or fraudulent use of Grant funds, the Grant was suspended in September 2009, pending the fulfillment of a number of conditions. Funding during the period of the suspension was strictly limited to the provision of essential medicines for the number of people living with HIV/AIDS who were on treatment at the time of the suspension (1,129 patients). Following the fulfillment of the imposed conditions, including the reimbursement of full amount of funds found as misused and/or insufficiently justified, the suspension of the grant was lifted on 25 July 2011. A Continuity of Services (COS) agreement was signed for a two-year period (1 September 2011 – 31 August 2013), to provide for essential treatment and care for 1,631 people living with HIV on treatment at the start date of the COS period, including the provision of nutritional support. The COS agreement is implemented by the same Principal Recipient (Secrétariat Exécutif National de Lutte contre le SIDA - SENLS), and by one Sub-recipient (Croix Rouge Française).

In March 2012, the CCM of Mauritania applied to the Global Fund for continued funding for the Round 5 grant under the Transitional Funding Mechanism ("TFM") to sustain the Program's gains in fighting HIV/AIDS, and the Global Fund approved that application following the final approval of the proposal by the Technical Review Panel (TRP) in April 2013. The objective of the Program during the period of TFM funding is to maintain, without disruption, essential treatment and care services, including the provision of nutritional support, in continuation of the COS agreement, which ends on 31 August 2013.

2. **Goal:**

- Provide people living with HIV/AIDS with continued access to essential care and treatment, including nutritional kits, in continuation of the Continuity of Services (COS) agreement, which ends on 31 August 2013.

3. **Objectives:**

- Improve access and adherence to anti-retroviral (ARV) treatment
- Improve access to opportunistic infection (OI) treatment
- Improve the quality of life of people living with HIV through the provision of nutritional support

3. **Target Group/Beneficiaries:**

The target group of the Transitional Funding Mechanism (TFM) agreement is comprised of patients who were under anti-retroviral (ARV) treatment at the time of the start of the Agreement (1 September 2013), as well as patients who were in the pre-ARV treatment line at that date.

4. **Planned Activities:**

Planned activities are to be implemented as a continuity of the COS agreement, and are mainly comprised by:

- Provision of anti-retroviral (ARV) and opportunistic infection (OI) treatment to patients on treatment by the start of the TFM agreement (1 September 2013)

- Provision of nutritional support to patients on ARV treatment by the start of the TFM agreement (1 September 2013)

## **B. CONDITIONS PRECEDENT**

### **1. Conditions Precedent to the Use of Grant Funds for the Procurement of Health Products (as defined in Article 19 of the Standard Terms and Conditions)**

The use of Grant funds to finance the procurement of Health Products (as defined in Article 19 of the Standard Terms and Conditions of this Agreement), including the use of Grant funds by any Sub-recipient, is subject to the satisfaction of each of the following conditions:

- a. The delivery by the Principal Recipient to the Global Fund of an updated plan, in form and substance satisfactory to the Global Fund, for the procurement, use and supply management of Health Products for the Program (the "PSM Plan");
- b. The delivery by the Principal Recipient to the Global Fund of a revised Summary Budget, in form and substance satisfactory to the Global Fund, if updates to the PSM Plan necessitate changes in the Summary Budget; and
- c. The written approval of the updated PSM Plan and of the revised Summary Budget, as applicable, by the Global Fund.

### **2. Conditions Precedent to the Use of Grant Funds for the Payment of Performance-Based Incentives after 31 March 2016**

The use of Grant funds by the Principal Recipient for the payment of performance-based incentives after 31 March 2016, other than for those already approved by the Global Fund before that date, is subject to the satisfaction of each of the following conditions:

- a. The delivery by the Principal Recipient to the Global Fund of (i) the list of criteria to be applied in conducting the performance assessment, and (ii) the description of the performance assessment modalities forming the basis for payment decisions; and
- b. The written approval of the Global Fund of the proposed performance assessment criteria and modalities.

The Principal Recipient acknowledges and agrees that it will aim to provide to the Global Fund the list of criteria to be applied in conducting the performance assessment, and the description of the performance assessment modalities forming the basis for payment decisions, by no later than 31 December 2015.

### **3. Conditions Precedent to the Use of Grant Funds for the Financing of Technical Assistance**

The use of Grant funds by the Principal Recipient for the financing of Technical Assistance is subject to the satisfaction of each of the following conditions, with respect to each proposed Technical Assistance mission:

- a. The delivery by the Principal Recipient to the Global Fund of the proposed Terms of References and detailed budget of the Technical Assistance mission; and
- b. The written approval of the Global Fund of such Terms of Reference and detailed budget.

**C. SPECIAL TERMS AND CONDITIONS FOR THIS AGREEMENT**

1. The Principal Recipient acknowledges and agrees that the procurement of Health Products with Grant funds, including health equipment approved in the PSM Plan (as defined in Article 19 of the Standard Terms and Conditions of this Agreement), shall be carried out through the "Pooled Procurement Mechanism" of the Global Fund until the Global Fund has agreed in writing that such procurement can be managed by the Principal Recipient using a different process.
2. By no later than 30 September 2015, the Principal Recipient shall (a) conduct, jointly with the Sub-recipient, a review of the organograms of the Principal Recipient and the Sub-recipient, which shall assess whether program implementation arrangements, including respective roles and responsibilities as well as the level of effort of each staff member, are adequate to meet implementation needs and (b) share the conclusions thereof with the Global Fund.
3. By no later than 31 December 2015, the Principal Recipient shall submit to the Global Fund, in form and substance satisfactory to the Global Fund, a revised detailed budget to reflect the revised organograms of the Principal Recipient and the Sub-recipient validated by the Global Fund and to align Human Resources costs to the "Global Fund Guidelines for Grant Budgeting and Annual Financial Reporting" (2014, as amended from time to time), available at the Global Fund's Internet site.
4. The Parties to this Agreement acknowledge and agree that the procurement of all non-health products using Grant funds, including supplies and equipment to support the activities of Sub-recipients, shall be conducted using an established list of prequalified suppliers, approved by the Global Fund. When a supplier is identified by the Global Fund as having engaged in conduct which disqualifies the supplier from providing goods or services under the Program, the Principal Recipient shall be obliged not to use such disqualified supplier(s).

**D. SPECIAL TERMS AND CONDITIONS RELATING TO TFM FUNDING**

1. The "Program Term", as defined in Article 3 of the Standard Terms and Conditions of this Agreement, is hereby extended until the TFM Ending Date.
2. In the event that the Principal Recipient secures other sources of funding (including, but not limited to, funding through another Global Fund mechanism or program) for activities financed under this Agreement through the TFM, the Global Fund reserves the

right to cease disbursements under, or terminate, this Agreement pursuant to Articles 10 and 26 of the Standard Terms and Conditions of this Agreement.

**E. FORMS APPLICABLE TO THIS AGREEMENT**

For purposes of Article 15b of the Standard Terms and Conditions of this Agreement entitled "Periodic Reports," the Principal Recipient shall use the "On-going Progress Update and Disbursement Request", available from the Global Fund upon request.

**F. ANTICIPATED DISBURSEMENT SCHEDULE**

For purposes of Article 10(a) of the Standard Terms and Conditions of this Agreement, the Global Fund shall make disbursement decisions on an annual basis starting from Period 1. If the Global Fund elects to transfer the disbursement decision amount to the Principal Recipient through multiple tranches over time, the decision of whether to transfer any funds in a given tranche, and the timing and amount of such tranche, shall be determined by the Global Fund in its sole discretion.

**G. THE GLOBAL FUND STAGGERED FUNDING COMMITMENT POLICY**

At the time of each annual disbursement and commitment decision by the Global Fund, the Global Fund shall set aside ("commit") funds up to the amount of the annual commitment decision amount, subject to the terms and conditions of this Agreement. Grant funds shall be committed in a manner consistent with the Global Fund's discretion and authority as described in Article 10 of the Standard Terms and Conditions of this Agreement, taking into account, among other things, the availability of Global Fund funding and the reasonable cash flow needs of the Principal Recipient. If a commitment of Grant funds is made, it will be communicated to the Principal Recipient at the time of the annual disbursement and commitment decision through written notice from the Global Fund. The Principal Recipient further acknowledges and understands that the Global Fund may decommit Grant funds, in its sole discretion, after the end of the Program Term.

Summary Budget TFM Year 1&2 + Extension (c)

HIV\_AIDS

Program Details

Country	République Islamique de Mauritanie
Grant No.	MRT-506-G03 H
PR	Secrétariat Exécutif National de Lutte contre le Sida
Currency	USD
Grant Cycle phase	TFM

(Please indicate Periods covered by this budget in the)

Period Covered: from	P1	P2	P3	P4
Period Covered: to	1-Sep-15	1-Jan-16	1-Apr-15	1-Jul-15
	31-Dec-15	31-Mar-16	30-Jun-15	31-Aug-16

A- SUMMARY BUDGET BREAKDOWN BY EXPENDITURE CATEGORY

#	Category	Year 1				TOTAL COS	%
		P1	P2	P3	P4		
1	Human Resources	188,981	146,032	135,290	83,178	553,480	25%
2	Technical Assistance	63,850	-	-	-	63,850	3%
3	Training	7,864	14,880	-	-	22,744	1%
4	Health Products and Health Equipment	214,510	52,390	-	-	266,900	12%
5	Medicines and Pharmaceutical Products	6,661	5,900	284,097	5,138	301,796	14%
6	Procurement and Supply Management Costs (PSM)	16,352	13,800	116,064	13,604	159,820	7%
7	Infrastructure and Other Equipment	9,023	881	-	587	11,373	1%
8	Communication Materials	2,937	-	-	-	2,937	0%
9	Monitoring and Evaluation (M&E)	7,033	6,854	6,854	6,675	27,416	1%
10	Living Support to Clients/Target Population	195,356	146,517	146,517	97,678	586,068	26%
11	Planning and Administration	8,735	32,196	7,196	5,656	53,784	2%
12	Overheads	72,537	36,412	35,953	27,812	172,754	8%
	<b>TOTAL*</b>	<b>793,841</b>	<b>455,862</b>	<b>732,891</b>	<b>240,328</b>	<b>2,222,922</b>	<b>100%</b>

B. SUMMARY BUDGET BREAKDOWN BY PROGRAM ACTIVITY

#	Macro-category	Objectives	Service Delivery Area**	Year 1				TOTAL COS	%
				P1	P2	P3	P4		
2	HIV-Treatment	Improve access and adherence to anti-retroviral (ARV) treatment	Treatment: Antiretroviral treatment (ARV) and monitoring	254,905	89,142	389,921	33,523	767,492	35%
3	HIV-Care and Support	Improve access to opportunistic infection (OI) treatment	Treatment: Prophylaxis and treatment for opportunistic infections	198,075	147,592	147,728	97,246	590,640	27%
5	HIV-Supportive Environment	Improve the quality of life of people living with HIV through the provision of nutritional support.	Care and support: Care and support for the chronically ill	340,861	219,129	195,242	109,560	864,790	39%
	<b>TOTAL*</b>			<b>793,841</b>	<b>455,862</b>	<b>732,891</b>	<b>240,328</b>	<b>2,222,922</b>	<b>100%</b>

To add additional rows, right click the row number to the left of the row above the row for TOTAL and select copy, then over the same number, right click again and select Insert Copied Cells. WARNING: Inserting Rows without copying a row as described above will cause the formula in the columns to become invalid and will mean the overall information will be inaccurate.

\*\* For the purposes of this report, the SDA Program management and administration should be included in the Supportive Environment Macro Category.

C. SUMMARY BUDGET BREAKDOWN BY IMPLEMENTING ENTITY ( If known by Grant signature line)

#	PR/SR	Name	Type of Implementing Entity	Year 1				TOTAL COS	%
				P1	P2	P3	P4		
1	BP		Other Government	138,333	144,452	430,286	37,745	750,816	34%
2	SR		NGO/CBO/Academic	655,508	311,410	302,605	202,583	1,472,106	66%
	<b>TOTAL*</b>			<b>793,841</b>	<b>455,862</b>	<b>732,891</b>	<b>240,328</b>	<b>2,222,922</b>	<b>100%</b>

To add additional rows, right click the row number to the left of the row above the row for TOTAL and select copy, then over the same number, right click again and select Insert Copied Cells. WARNING: Inserting Rows without copying a row as described above will cause the formula in the columns to become invalid and will mean the overall information will be inaccurate.

\*\* The sum of all three breakdowns should be equal (A- Budget Line-Item, B- Program Activity, C- Implementing Entity).